

Attorney's Docket No.: 003263.P003

**PATENT** 

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (If only one name is listed below) or an original, first, and joint inventor (If plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SCANNING FORCE MICROSCOPE PROBE CANTILEVER WITH REFLECTIVE STRUCTURE

| SOLUTION OF OR WILL                                     | PROSCOPE PROBE CA   | ANTILEVER WITH REFLECTIVE  | SIRUCI           | URE    |
|---|---|--|------------------|--------|
| the specification of which                              |   | •  |                  | ,      |
| was filed   | ed hereto.<br>on<br>United States Application             | a Niumbor as   |                  |        |
| •   | or PCT International App<br>and was amended on            | lication Number  |                  |        |
|   |   | (if applicable)  |                  |        |
| I hereby state that I have specification, including the | reviewed and understar<br>e claim(s), as amended          | nd the contents of the above-iden<br>by any amendment referred to al                                   | itified<br>bove. |        |
| I acknowledge the duty to<br>defined in Title 37, Code  | disclose all information of Federal Regulations,          | known to me to be material to pa<br>Section 1.56.  | atentability     | / as   |
| foreign application(s) for p                            | patent or inventor's certil<br>r patent or inventor's cer | 35, United States Code, Section ficate listed below and have also tificate having a filing date before | identified       | below  |
| Prior Foreign Application                               | <u>s)</u>   |  | Priori<br>Claim  |        |
| Number  | Country   | Day/Month/Year Filed   | Yes              | No     |
| Number  | Country   | Day/Month/Year Filed   | Yes              | No     |
| Number  | Country   | Day/Month/Year Filed   | Yes              | No     |
| I hereby claim the benefit provisional application(s)   |   | states Code, Section 119(e) of an  | y United         | States |
| Application Number                                      | Filing Dat  | <del>e</del>   |                  |        |
| Application Number                                      | Filing Dat  | <u> </u>   |                  |        |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number Filing Date Status – patented.

| Application Number  | Filing Date   | Status patented,<br>pending, abandoned   |  |
|---|---|--|--|
| Application Number  | Filing Date   | Status patented, pending, abandoned  |  |
| part of this document) as my res  | pective patent attorneys rosecute this application  | o (which is incorporated by reference and a<br>s and patent agents, with full power of<br>and to transact all business in the Patent   |  |
| Send correspondence toJa  | ames Y. Go  | BLAKELY, SOKOLOFF, TAYLOR &  |  |
| (Nan<br>ZAFMAN LLP, 12400 Wilshire<br>telephone calls to <u>James Y</u>                         | ne of Attorney or Agen<br>Boulevard 7th Floor, L  | it)<br>.os Angeles, California 90025 and direct  |  |
| statements made on informati<br>statements were made with the<br>are punishable by fine or impr | ion and belief are belie<br>le knowledge that willf<br>risonment, or both, und<br>Iful faise statements m | ny own knowledge are true and that all wed to be true; and further that these ul false statements and the like so made der Section 1001 of Title 18 of the United hay jeopardize the validity of the |  |
| Full Name of Sole/First Inventor  | Douglas J. Thomson  | 100  |  |
| Inventor's Signature Des  | <b></b>   | Date Dec (7/97)  |  |
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| (City,  | State)  | (Country)  |  |
| Post Office Address 29 River Ro<br>Winnipeg. 0  | oad<br>Canada R2M 3Z1   |  |  |
| Full Name of Second/Joint Inve  | ntor <u>Christopher O. Lad</u>  | 3  |  |
| Inventor's Signature  |   | Date   |  |
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| Post Office Address 2311 Midd   | efield Rd.  |  |  |
| Palo Alto.  | CA 94301  |  |  |

| Full Name of Third/Joint   | Inventor      |             |  |
|----------------------------|---------------|-------------|--|
| Inventor's Signature       |               | Date        |  |
|                            |               | Cittzenship | •  |
|                            |               |             |  |
| Full Name of Fourth/Join   | nt Inventor   |             |  |
|                            |               | Date        |  |
| Residence                  | (City, State) | Citizenship | (Country)  |
| Post Office Address        |               |             |  |
| Full Name of Fifth/Joint I | nventor       |             |  |
| Inventor's Signature       |               | Date        |  |
| Residence                  | (City, State) | Citizenship | (Country)  |
| Post Office Address        |               |             |  |
| Full Name of Sixth/Joint   |               | ·           | -  |
|                            |               | Date        | - · · · · -  |
| Residence                  |               | Citizenshlp |  |
| Post Office Address        |               |             |  |
| Full Name of Seventh/Jo    | int Inventor  |             | garden de Processo a communicación de la granda de la compansa de la compansa de la compansa de la compansa de |
| Inventor's Signature       |               | Date        |  |
| Residence                  | (City, State) | Citizenship | (Country)  |
| Post Office Address        |               |             |  |

#### APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35.934: Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. P44,587; Thomas M. Coester, Reg. No. 39,637; Stephen M. De Klerk, under 37 C.F.R. § 10.9(b); Michael Anthony DeSanctis, Reg. No. 39.957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Erica W. Kuo, Reg. No. 42,775; Michael J. Maille, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. 42,004; Lisa A. Norris, Reg. No. P44,976; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Kimberley G. Nobles, Reg. No. 38,255; Daniel E. Ovanezian, Reg. No. 41,236; Babak Redlalan. Reg. No. 42,096; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinarl, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 43,398; Kirk D. Williams, Reg. No. 42,229; James M. Wu, Reg. No. P45,241; Steven D. Yates, Reg. No. 42,242; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Andrew C. Chen, Reg. No. 43,544; Justin M. Dillon, Reg. No. 42,486; Paramita Ghosh, Reg. No. 42,806; and Sang Hul Kim, Reg. No. 40,450; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31.710. my patent attorney.

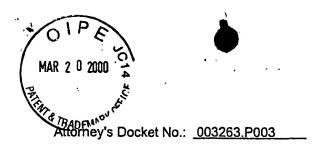
#### APPENDIX B

# Title 37, Code of Federal Regulations, Section 1.58 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served. and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all Information material to patentability. Each Individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (I) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



**PATENT** 

## **DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SCANNING FORCE MICROSCOPE PROBE CANTILEVER WITH REFLECTIVE STRUCTURE

| the specification of which                                    |   |  |                        |        |
|---|---|--|------------------------|--------|
| X is attached h was filed on Unit                             |   |  |                        |        |
| and   | was amended on  | (if applicable)  | •                      |        |
|   |   | ne contents of the above-ident<br>any amendment referred to ab                               |                        |        |
| I acknowledge the duty to disdefined in Title 37, Code of F   |   | own to me to be material to particular to particular 1.56.                                   | tentability            | / as   |
| foreign application(s) for pate                               | ent or inventor's certificate<br>atent or inventor's certific | United States Code, Section te listed below and have also it ate having a filing date before | dentified              | below  |
| Prior Foreign Application(s)                                  | ·   |  | Priori<br><u>Claim</u> |        |
| Number  | Country   | Day/Month/Year Filed   | Yes                    | No     |
| Number  | Country   | Day/Month/Year Filed   | Yes                    | No     |
| Number  | Country   | Day/Month/Year Filed   | Yes                    | No     |
| I hereby claim the benefit unprovisional application(s) liste |   | es Code, Section 119(e) of any   | y United               | States |
| Application Number  | Filing Date   | <del></del>  |                        |        |
| Application Number  | Filing Date   |  |                        |        |

| I hereby claim the benefit under T application(s) listed below and, insis not disclosed in the prior United of Title 35, United States Code, S known to me to be material to pat Section 1.56 which became available or PCT international filing date of | sofar as the subject mad States application in section 112, I acknowle entability as defined in able between the filing | atter of each of the claims of<br>the manner provided by the<br>edge the duty to disclose all<br>a Title 37, Code of Federal F | f this application<br>first paragraph<br>information<br>Regulations, |
|--|---|--|--|
| Application Number   | Filing Date   | Status patented, pending,  | abandoned  |
| Application Number   | Filing Date   | Status patented, pending,  | abandoned  |
| I hereby appoint the persons lister<br>part of this document) as my resp<br>substitution and revocation, to pro<br>and Trademark Office connected  | ective patent attorney<br>esecute this application  | s and patent agents, with fu   | ll power of  |
| ZAFMAN LLP, 12400 Wilshire B telephone calls to  | e of Attorney or Age<br>loulevard 7th Floor, I  | Los Angeles, California 90   | •  |
| I hereby declare that all statements made on information statements were made with the are punishable by fine or imprison States Code and that such will application or any patent issued  | on and belief are belie<br>knowledge that will<br>sonment, or both, un<br>ful false statements r                        | eved to be true; and furthe<br>ful false statements and the<br>der Section 1001 of Title                                       | er that these<br>ne like so made<br>18 of the United                 |
| Full Name of Sole/First Inventor D   | Douglas J. Thomson  |  |  |
| Inventor's Signature   |   | Date   |  |
| Residence Winnipeg, Canada (City, S  | State)  | Citizenship <u>Canada</u> (  | Country)   |
| Post Office Address <u>29 River Roa</u><br><u>Winnipeg, Ca</u>   | ad<br>anada R2M 3Z1   |  |  |
| Full Name of Second/Joint Inventoring Inventoring Signature  | or <u>Christopher O. Lad</u>  | aDate  | 1/99   |
| Residence <u>Palo Alto, California</u> (City, S  | State)  | Citizenship <u>United States</u><br>(  | Country)   |
| Post Office Address 2311 Middlef Palo Alto, C  | field Rd.   |  |  |

| Full Name of Third/Joi        | nt Inventor    | -           |           |
|-------------------------------|----------------|-------------|-----------|
| Inventor's Signature _        |                | Date        |           |
| Residence Post Office Address | (City, State)  | Citizenship | (Country) |
| Full Name of Fourth/Jo        | oint Inventor  | ·····       |           |
|                               |                | Date        |           |
|                               |                | Citizenship |           |
| Post Office Address           |                |             |           |
| Full Name of Fifth/Join       | nt Inventor    |             |           |
| Inventor's Signature _        |                | Date        |           |
| Residence                     | (City, State)  | Citizenship | (Country) |
| Post Office Address           |                |             | -         |
| Full Name of Sixth/Joi        | nt Inventor    |             |           |
| Inventor's Signature _        |                | Date        |           |
| Residence                     | (City, State)  | Citizenship | (Country) |
| Post Office Address _         |                |             |           |
| Full Name of Seventh/         | Joint Inventor |             |           |
| Inventor's Signature _        |                | Date        |           |
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